


12-28-01

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PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:30%;">Attorney Docket No.</td> <td>2659P017</td> </tr> <tr> <td>First Inventor</td> <td>Alex Apollonsky, et al.</td> </tr> <tr> <td>Title</td> <td>A Configurable Architecture for Managing Corporate and Industry</td> </tr> <tr> <td>Express Mail Label No.</td> <td>EJ201091017US</td> </tr> </table>	Attorney Docket No.	2659P017	First Inventor	Alex Apollonsky, et al.	Title	A Configurable Architecture for Managing Corporate and Industry	Express Mail Label No.	EJ201091017US
Attorney Docket No.	2659P017								
First Inventor	Alex Apollonsky, et al.								
Title	A Configurable Architecture for Managing Corporate and Industry								
Express Mail Label No.	EJ201091017US								

<p style="text-align: center;">APPLICATION ELEMENTS</p> <p><i>See MPEP chapter 600 concerning utility patent application contents</i></p> <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages <u>63</u>] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>7</u>] 5. Oath or Declaration [Total Pages <u>6</u>] <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small> 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 	<p style="text-align: center;">ADDRESS TO:</p> <p>Assistant Commissioner for Patents Box Patent Application Washington, DC 20231</p> <ol style="list-style-type: none"> 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ol style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ol style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
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ACCOMPANYING APPLICATION PARTS	
<ol style="list-style-type: none"> 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small> 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input checked="" type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input checked="" type="checkbox"/> Other: <u>Express Mail Certificate</u> 	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

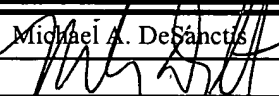
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____

Prior application Information: Examiner Not yet assigned Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS		
<input checked="" type="checkbox"/> Customer Number of Bar Code Label	 08791 PATENT TRADEMARK OFFICE <small>(Insert Customer No. or Attach bar code label here)</small>	or <input checked="" type="checkbox"/> Correspondence address below

Name	Michael A. DeSanctis				
	Blakely, Sokoloff, Taylor & Zafman LLP				
Address	12400 Wilshire Boulevard, Seventh Floor				
City	Los Angeles	State	California	Zip Code	90025-1030
Country	USA	Telephone	(303) 740-1980	Fax	(303) 740-6962

Name (Print/Type) <u>Michael A. DeSanctis</u>	Registration No. (Attorney/Agent) <u>39,957</u>
Signature 	Date <u>12/21/01</u>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

12/21/01
 10/028856
 U.S. PTO

FEE TRANSMITTAL FOR FY 2002**TOTAL AMOUNT OF PAYMENT (\$)** 3410.00**Complete if Known:**

Application No. 10/028,856
 Filing Date Concurrently Herewith 12/21/01
 First Named Inventor Apollonsky et al.
 Group Art Unit Not yet assigned 2175
 Examiner Name Not yet assigned Abd-Jalil, Nasser
 Attorney Docket No. 002659P017

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
 Deposit Account Name _____

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EJ201091017US
 I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:
☒ Check
☐ Money Order
☐ Other

December 21, 2001
 Date of Deposit

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
101	740	201	370	Utility application filing fee	<u>740.00</u>
106	330	206	165	Design application filing fee	_____
107	510	207	255	Plant filing fee	_____
108	740	208	370	Reissue filing fee	_____
114	160	214	80	Provisional application filing fee	_____

SUBTOTAL (1) \$ 740.00

Name of Person Mailing Correspondence

April Worley
 Signature 12/21/01
 Date

2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims	<u>103</u>	- 20** = <u>83</u>	X <u>18.00</u>	= <u>1494.00</u>
Independent Claims	<u>17</u>	- 3** = <u>14</u>	X <u>84.00</u>	= <u>1176.00</u>
Multiple Dependent				= _____

**Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity		Fee Description
Code	Fee (\$)	Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 2670.00

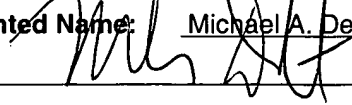
FEE CALCULATION (continued)**3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex-parte reexamination	
099	8,800	099	8,800	Request for inter partes reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	400	216	200	Extension for response within second month	
117	920	217	460	Extension for response within third month	
118	1,440	218	720	Extension for response within fourth month	
128	1,960	228	980	Extension for response within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application or unavoidably delayed payment of issue fee	
141	1,280	241	640	Petition to revive unintentionally abandoned application or unintentionally delayed payment of issue fee	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
Other fee (specify) _____					

SUBTOTAL (3) \$ 0.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Michael A. DeSanctis
Signature:  Date: 12/21/01
Reg. Number: 39,957 Telephone Number: 303-740-1980

10028855 122101

Patent No. Emmex, Inc. Issue Date: Concurrently Herewith
A Configurable Architecture for managing
Corporate and Industry Knowledgebase
BSTZ File No.: 002659P017 Atty/Secy Initials: MAD/AAJ/dmv
Date Mailed: 12/21/01 Docket Due Date: _____
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:
☐ Amendment/Response (____ pgs.) ☒ Express Mail No.: EJ201091017US ☒ Check No. 2166
☐ Appeal Brief (____ pgs.) (in triplicate) ☐ _____ Month(s) Extension of Time Amt: 3410.00
☒ Application - Utility (63 pgs., with cover and abstract) ☐ Information Disclosure Statement & PTO 149 (____ pgs.) ☐ Check No. _____
☐ Application - Rule 1.53(b) Continuation (____ pgs.) ☐ Issue Fee Transmittal Amt: _____
☐ Application - Rule 1.53(b) Divisional (____ pgs.) ☐ Notice of Appeal
☐ Application - Rule 1.53(b) CIP (____ pgs.) ☐ Petition for Extension of Time
☐ Application - Rule 1.53(d) CPA Transmittal (____ pgs.) ☐ Petition for _____
☐ Application - Design (____ pgs.) ☒ Postcard
☐ Application - PCT (____ pgs.) ☐ Power of Attorney (____ pgs.) _____
☐ Application - Provisional (____ pgs.) ☐ Preliminary Amendment (____ pgs.)
☐ Assignment and Cover Sheet ☐ Reply Brief (____ pgs.)
☒ Certificate of Mailing ☐ Response to Notice of Missing Parts
☒ Declaration & POA (6 pgs.) unsigned ☐ Small Entity Declaration for Indep. Inventor/Small Business
☐ Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.) ☒ Transmittal Letter, in duplicate
☒ Drawings: 7 # of sheets includes 7 figures ☒ Fee Transmittal, in duplicate
☒ Other: Request for Non-Publication, Express Mail Certificate

EJ201091017US

"Express Mail" mailing label number: EJ201091017US

Date of Deposit: December 21, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231

April Worley

(Typed or printed name of person mailing paper or fee)

April Worley

(Signature of person mailing paper or fee)

12/21/01

(Date signed)

**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Alex Apollonsky
Title	A Configurable Architecture for Managing Corporate and Industry Knowledgebases
Attorney Docket Number	2659P017

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12/21/01

Date



Signature

Michael A. DeSanctis, Reg. No. 39,957

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 112(b)(B)(iii)).**